



# Privacy Policy

**Liyan Broker** is highly committed to ensuring the security of clients' personal data and confidential information. The Broker strictly adheres to all relevant requirements, both administrative and technical, in order to prevent any loss, misuse, alteration, or unauthorized access of information by third parties. The Broker conducts regular investigations, tests, and essential reviews to ensure the safety of the clients' data.

Meanwhile, the clients are advised to keep their username and password secure and not share this information with others. In case of any potential abuse, the client will be solely responsible for any consequences.

Here at **Liyan Broker** we value your right to privacy. This **Privacy Policy** (hereinafter referred to as "**Policy**") is applicable to personal information collected by the Broker's website, affiliated websites, and applications. This Policy does not apply to websites operated by third parties or other organizations. This Policy also applies to personal information collected when using the Broker's products and services, as well as during any correspondence held by telephone, email, or any other means. The Policy aims to inform clients about the type of information collected by the Broker, its use, how it's kept secure, and what their data privacy rights are.

The personal information collected by the Broker may fall into the following categories:

## Information provided voluntarily by clients:

Clients can provide the Broker with their personal details, contact information, financial information, etc., by providing it over the telephone, via user panel, email, or any other method specified by the Broker. This includes personal information provided when:

- Applying for the Broker's products or services;
- Creating a user account on the Broker website;
- Taking part in a contest, advertisement, or survey;
- Giving feedback.



In addition, certain parts of the Broker's website may ask clients to provide personal information voluntarily. The personal information requested, along with the reason for requesting it, will be made clear to clients at the time of collection.

## Information collected automatically by the Broker:

When clients visit the Broker's website, certain information (such as their IP address, device type, browser type, etc.) is sent automatically from their device. In some jurisdictions, including European Union member states, this information may be classified as personal information under standard data protection regulations. The Broker also receives information about how clients' devices interact with the website, including the pages accessed and links followed.

Collecting this information enables the Broker to conduct analyses of user and client requests for the purpose of improving the quality of available products and services. Some of this information may be collected using cookies. More detailed information about cookies used by the Broker can be found in the Broker's Cookie policy.

## Disclosure of personal information:

the Broker may disclose clients' personal information to the following categories of recipients:

- Group companies, third-party service providers, and partners who provide the Broker with data processing services (for example, to support the delivery of, provide functionality for, or help to enhance the security of the Broker website) or who otherwise process personal information for the purposes described in this Policy.
- Any competent law enforcement body, regulatory or government agency, court, or other third party as permitted by law.
- Any other person with the client's consent to the disclosure of such information.

the Broker uses clients' personal data for the following purposes:

### 1. Our clients

Type of data: Identity (name, country of residence, address, and other similar information); contact details (email address, telephone number, webpage, etc.), copies of national identity documents (passport, identity card, residency permit, US residency information, and similar), financial (bank account details, bank card numbers and

holder name, documents confirming origin of capital, and other similar), political exposure.

**Purpose:** We collect this information to register you as a new client, provide the opportunity to open a trading account, provide the opportunity to use our products and services, facilitate non-trading operations in accordance with the Regulations for Non-trading Operations, and collect information regarding the possibility that funds are owed to us.

**Legal basis:** We collect and process your data in compliance with the Client Agreement and legal requirements.

## **2. Risk management, money laundering prevention, and regulatory compliance**

**Type of data:** We collect transactional, financial, and identity data.

**Purpose:** We collect this information for compliance with applicable laws, money laundering detection, investigation, and reporting, responding to complaints, submission of information to applicable governmental bodies in cases where it is required by law, the collection of information where there is a legal obligation to do so for compliance and AML purposes, such as 'know your client' information, information relevant to international sanctions and restrictive measures, and information about illegal or political activities of a potential client, which may impact our decision to conclude an agreement with the client.

**Legal basis:** We collect and process your data in compliance with legal requirements.

## **3. Marketing**

**Type of data:** We collect contact information, consent, and correspondence data.

**Purpose:** We collect this information to conduct marketing activities and provide information concerning our products and services.

**Legal basis:** We collect and process your data in compliance with the Client's Agreement.

Clients have the following rights:

- You have the right to request the correction of any inaccurate information and/or the completion of any incomplete personal information. We will process the request and notify any third parties to whom such personal information may have been transmitted in accordance with the terms set out in this Policy.
- You have the right to opt-out of receiving any marketing materials sent by us.
- You have the right to request us to stop processing your personal information.

Withdrawing consent for personal information processing may prevent you from

being able to access certain products and services for which the processing of this information is necessary.

- You have the right to withdraw consent for the processing of personal information at any time. This will not affect the legality of such processing, which was consented to earlier. Withdrawing consent may affect access to certain features of our service for which the processing of personal information is necessary.
- You have the option to avoid giving out any personal information required by us. However, this may prevent us from providing certain services, such as creating an account for you.

## Security Measures of the Broker

To protect the personal information that it collects and processes, the Broker implements appropriate technical and administrative measures. These measures are specifically designed to provide a level of security that is proportional to the risk involved in processing personal data. Some of the measures taken by the Broker are as follows:

- **Personal data** is stored on secure servers.
- **Encrypted transmission links** are used whenever possible and necessary.
- **Firewalls, authentication systems** (such as passwords), and access control mechanisms are employed to prevent unauthorized access to systems and data.
- **Regular reviews** of information collection, storage, and processing are conducted, including physical security measures, to prevent unauthorized access to systems.
- **Access to information** is restricted to employees, contractors, and agents who require access to process it, and who are bound by strict contractual confidentiality obligations.

The Broker reserves the right to modify its privacy policy, and clients will be informed of any changes through email or announcements on the website. Website-related announcements and messages sent through internal post are considered suitable policies regarding client privacy. If any new rules are introduced, they will be added to the privacy policy immediately after the legal date, whether or not the modification has been announced. If any existing privacy policy contradicts the new rule, the privacy policy will be eliminated after the implementation of the modification.

## International Data Transfers

Subject to certain conditions, the Broker may transfer your personal information to third parties in other countries for further processing in accordance with the purposes set out in



this Policy. In some cases, data may be transferred to countries that do not provide the same level of protection as the laws of your country of residence. The Broker will, as required by applicable law, ensure that your privacy rights are adequately protected by appropriate technical, administrative, contractual, or other lawful means. Data transfer agreements will be put in place for the transmission of personal information within the group of companies to which the Broker is a party, requiring all partners to protect personal information in accordance with applicable data protection laws.

## Data Retention

Your personal information is retained only as long as necessary to carry out the purposes set out in this Policy, unless further retention is required by law.

## Automated Decision Making

In some cases, the Broker may use your personal information to make automated decisions (including profiling) that legally affect you. Automated decisions refer to decisions made about you automatically, without human oversight, based on computer algorithms. If an automated decision is made about you, you have the right to contest the decision and demand that an employee of the Broker review the decision.

**Last updated:**

**10/06/2023**